



INVESTMENTS | LIFE | MEDICAL AID | SHORT TERM | WILLS | TRUSTS

LETTER OF INTRODUCTION & DISCLOSURES

Dear Client

In complying with the FAIS legislation, I would like to bring the following information to your attention:

My name is Wayne du Preez from WM Du Preez Financial Services & Solutions (Pty) Ltd t/a Du Preez Financial Services, FSP license numbers 46066, and my contact details are stated here on this letter as follows: Physical Address: Unit 13 Forest grove, 9 Keynsham Avenue, Somerset Park, 4319, Postal Address: PO BOX 3379, Somerset Park, 4021, Office number: 031 942 7273, fax: 0864711340, Cell: 083 797 1697 and email: wayne@dupreezfs.co.za.

I am the Director and Key Individual (KI) of Du Preez Financial Services (46066) an authorised financial services provider. The FSP accepts responsibility for my activities, and I am licensed to render financial services.

I have certain agreements with outside service providers namely Standard Bank, ABSA, Thebe Stockbrokers, Mixtelematics, VFS International and Old Mutual Private Client Securities for services that I do not do myself or for categories that I do not have on my license. These companies help me regarding certain matters like Wills, trusts, car tracking systems, warrants, debentures, offshore investing, and share portfolios on the JSE. If any of the above service provider are used it must be noted that all advice and intermediary services will come from them and not me. I will be assisting with the processing of the paperwork to help you their customer in an admin function.

I have been providing financial advice and intermediary services since the 1st of October 2004 in the following areas of financial planning: Death, disability, and dreaded disease planning; retirement planning; investment planning; estate planning; funeral cover, business assurance, pensions, retail pensions, medical aid, short term insurance, gap cover, interest in participatory collective investment schemes previously known as (Unit Trusts) and Exchange Traded Funds (ETF's).

I am authorised to provide advice and intermediary services in the following categories and am not under supervision:

Category 1 License Holder:

- 1.1 Long-Term Insurance: Category A
- 1.2 Short-Term Insurance: Personal Lines
- 1.3 Long-Term Insurance: Category B1
- 1.4 Long-Term Insurance: Category C
- 1.5 Retail Pension Benefits
- 1.6 Short Term Insurance: Commercial Lines
- 1.7 Pension Fund Benefits (excluding Retail Pension Benefits)
- 1.14 Participatory interest in collective investment Schemes
- 1.16 Health Service Benefits
- 1.20 Long-Term Insurance: Category B2
- 1.21 Long-Term Insurance Subcategory B2-A

1.22 Long-Term Insurance Subcategory B1-A

1.23 Short-Term Insurance Personal Lines A-1

A copy of the licence is available for inspection upon request.

The brokerage has written authority to market the products of the following product suppliers and I am accredited to market their products:

Liberty Group; Old Mutual, Auto and General, Unity, 1st for Woman; Medihelp, Bestmed; Linksave, Camargue, Turnberry, Santam, Stanlib; Itransact, Grindrod, Complimed, Discovery, MUA, AGS Health; Standard Bank, Africa Assist.

Offshore; Standard Bank Wills, ABSA Wills and Trusts; Momentum, Stratum, Zestlife, Affinity Health, African Unity, Bonitas, Complimed, Hollard, Mixtelematics, Origen, PPS, Mi-Way, King Price, FSP Solutions and Ambledown.

I do not hold more than 10% of the shares issued by any product supplier. I own no shares at all in any insurance company.

I am remunerated for my services by being paid commission from the product supplier and/or by charging fees directly to my customer's account. I also get paid referral fees for suppliers as mentioned in paragraph 3 on page 1.

The FSP does not hold any shares issued by any product provider.

The FSP does not receive more than 30% of its total remuneration from any product provider.

I Wayne Du Preez did not receive more than 30% of my commission and remuneration from any of the above product suppliers.

I do hold professional indemnity insurance for R5 million through AON at Old Mutual Insure and underwritten by Sintelum (Pty) Ltd.

Compliance with the FAIS Act is monitored by Masthead (Pty) Ltd number 910314, a compliance practice approved by the Financial Sector Conduct Authority (FSCA). Their postal address is PO Box 856, Howard Place, 7450. Their contact numbers are 021 686 3588(t) and 021 686 3589(f).

I am a proud member of the Masthead Financial Advisors Association, which provides me with services such as a compliance, practice management and technology support. This support helps me to provide you with a more professional service. The compliance service enables my practice to be compliant with the FAIS legislative requirements as well as TCF. Through the practice management support, I am able to run a more professional business and therefore able to provide you with an improved service and enhanced support.

Please note that in accordance with legislation I have implemented a Conflict of Interest Management Policy and keep an updated disclosure register. This register informs you, our client of all financial and ownership interests that I may become entitled to and lists the business relationships that I have with the product suppliers. These documents ensure transparency in my dealings with my customers and are available for inspection.

I wish to advise that all information obtained or acquired about you shall remain confidential unless you provide written consent, or unless I am required by any law to disclose such information.

In the event that you are dissatisfied with any aspect of my service, you should address your complaint in writing to me at the above address. A copy of my Complaints Management Framework is available on request.

Yours faithfully

Signature of broker: _____

Client Name: _____

Signature of client's receipt: _____

Date disclosures made to the client: _____ / _____ / 2024.